

Date: October 24, 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Zhenduo et al.
 Appl. No. : 10/619,383
 Filed : July 14, 2003
 For : HYBRID CASCADE MODEL-BASED PREDICTIVE CONTROL SYSTEM
 Examiner : Unknown
 Group Art Unit : 2121

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 24, 2003

(Date)

Adeel S. Akhtar, Reg. No. 41,394

TRANSMITTAL LETTER

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing twelve (12) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Adeel S. Akhtar
 Registration No. 41,394
 Attorney of Record
 Customer No. 20,995
 (415) 954-4114



INFORMATION DISCLOSURE STATEMENT

Applicant : Zhenduo et al.
App. No. : 10/619,383
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For : HYBRID CASCADE MODEL-BASED
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Examiner : Unknown
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 12 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of all other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Oct. 24, 2003

By: Adeel S. Akhtar
Adeel S. Akhtar
Registration No. 41,394
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(415) 954-4114

OCT 21 2003 U.S. PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		ATTY. DOCKET NO. ASMINT.048AUS	APPLICATION NO. 10/619,383
APPLICANT Zhenduo et al.			
FILING DATE July 14, 2003		GROUP 2121	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,346,433	8/24/82	Rutledge			
	2	4,385,362	5/24/83	Arcara			
	3	4,630,189	12/16/86	Ohmori et al.			
	4	4,639,853	1/27/87	Rake et al.			
	5	5,635,409	6/3/97	Moslehi			
	6	6,128,586	10/3/00	Pfeiffer			
	7	6,207,936 B1	3/27/01	De Waard et al.			
	8	6,222,164 B1	4/24/01	Stoddard et al.			
	9	6,373,033 B1	4/16/02	De Waard et al.			
	10	6,411,944 B1	6/25/02	Ulyanov			
	11	6,496,749 B1	12/17/02	Yamaguchi et al.			
	12	6,501,998 B1	12/31/02	Pfeiffer			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	13						
	14						
	15						
	16						
	17						

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
18		
19		
20		

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102303

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	